



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Tetsuro IWAMOTO

Application No.: 09/816,211

Filed: March 26, 2001

Docket No.: 108991

For: SYSTEM AND METHOD FOR SUPPORTING PROVISION OF RATING RELATED SERVICE

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INFORMATION DISCLOSURE STATEMENT

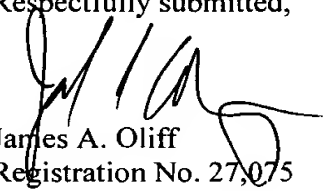
Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. An English-language translation of the non-patent publication filed with the March 26, 2001 Information Disclosure Statement is attached hereto.

Respectfully submitted,


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Date: May 25, 2001

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| <p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p> |
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Sheet 1 of 1Form PTO-1449
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PATENT & TRADEMARK OFFICEATTY DOCKET NO.
108991APPLICATION NO.
09/816,211

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT
Tetsuro IWAMOTOFILING DATE
March 26, 2001

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CLASS SUB
CLASS CLASS

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | | |
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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| | | K. MASAOKI et al., "Credit Risk", Quantification of Financial Risk, vol. 2, pp. 65-81 |
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EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.